

_experience the commitment



e-settlement for Discount Broker/Dealers

On time, on the mark Keeping Ahead of the Industry

e-settlement advantage

Open, real-time, highly scalable architecture

Straight-Through-Processing Compliant & T+1 ready

Modular configuration options allows for customization

Integrated order management, multi-currency, full API support

Full Support for FundSERV Multiple Dealer Codes

Real Time Margin & Exposure Management

Eliminates the Requirement for many 3rd Party Solutions



Book of record for Discount Broker/Dealers

e-settlement's clearing and settlement functionality improves Risk Management and operational efficiency.

Key Highlights:

- Maintains book cost for all accounts
- Full Support for FundSERV Multiple Dealer Codes
- Supports tracking On and Off Book Assets
- Sophisticated Commissions based on channel
- Flexible Holiday Processing Capability
- Extended Transaction History
- Full support for investment suitability waivers
- Integrated Order Capture and Validation ("OCV")
 - Flexible, real-time Compliance Monitoring
 - Validate Cash / Holdings / Buying Power prior to Order Placement
 - Order book by client
 - Routes to manual review when appropriate
 - Comprehensive security master (Canada and US)
 - Virtual 24/7 access
- Embedded Report Writer Tool
- True Multi-Currency Processing and Reporting, records cost in:
 - Execution Currency
 - Settlement Currency
 - Reporting Currency
- Bilingual Client Statements
- Sophisticated Entitlement Module
- EFT Module with support for:
 - PAC/SWP
 - RSP Contributions
 - Standing Orders
 - Mortgage Payments and RIF payment scheduling